



1fw

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

ANDERSEN, Erik et al.

Serial No: 10/595,329

Filed: April 10, 2006

For: A BALLOON FOR USE IN  
ANGIOPLASTY

) Group Art Unit: Unknown

) Confirmation No: 7593

) Examiner: Unknown

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence was deposited with the United States Postal Service as First Class addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on June 13, 2006.

Angela R. Samble, Sec'y to George W. Moxon, II

**TRANSMITTAL SHEET**

Enclosed are the following documents:

Information Disclosure Statement (PTO/SB/08A) 3 pages

Copies of cited references

Return Receipt Postcard

**AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT**

The Director is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 50-0959 (113129.0001.US).

Respectfully submitted,

George W. Moxon II, Reg. No. 26,615

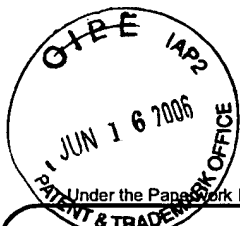
Roetzel & Andress

222 S. Main St.

Akron, Ohio 44308

(330) 376-2700

June 13, 2006



PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

**Complete if Known**

Application Number	10/595329
Filing Date	04/10/06
First Named Inventor	ANDERSEN
Art Unit	
Examiner Name	
Attorney Docket Number	113129.0001.US

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US- 5039705	08-13-1991	KEEFER ET AL.	
	AB	US- 5366997	11-22-1994	KEEFER ET AL.	
	AC	US- 5632772	05-27-1997	ALCIME ET AL.	
	AD	US- 5639278	06-17-1997	DEREUME ET AL.	
	AE	US- 5723004	03-03-1998	DEREUME ET AL.	
	AF	US- 5855598	01-05-1999	PINCHUK	
	AG	US- 5948018	09-07-1999	DEREUME ET AL.	
	AH	US- 5958427	09-28-1999	SALZMAN ET AL.	
	AI	US- 5994444	11-30-1999	TRESCONY ET AL.	
	AJ	US- 6147068	11-14-2000	SMITH ET AL.	
	AK	US- 6161399	12-19-2000	JAYARAMAN	
	AL	US- 6218016	04-17-2001	TEDESCHI ET AL.	
	AM	US- 6224625	05-01-2001	JAYARAMAN	
	AN	US- 6255277	07-03-2001	STAMLER ET AL.	
	AO	US- 6352709	03-05-2002	STAMLER ET AL.	
	AP	US- 6358536	03-19-2002	THOMAS	
	AQ	US- 6703046	03-09-2004	FITZHUGH ET AL.	
	AR	US- 6737447	05-18-2004	SMITH ET AL.	
	AS	US- 20010056299	12-27-2001	THOMAS	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	WO 99/38546	08-05-1999	ADVANCED CARDIOVASC		
	BB	EP 0 992 252 A2	09-27-1999	MEDTRONIC AVE, INC.		
	BC	WO 01/41678 A2	06-14-2001	ADVANCED CARDIOVASC		
	BD	WO 01/54667 A1	08-02-2001	SMITHLINE BEECHAM COR		
	BE	WO 01/56646 A1	08-09-2001	ZOU-CAS KIRUR GKONSUL		
	BF	WO 02/24247 A1	03/28/2002	KENSEY NASH CORPORAT		

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	2	of	3
-------	---	----	---

**Complete if Known**

Application Number	10/595329
Filing Date	04/10/06
First Named Inventor	ANDERSEN
Art Unit	
Examiner Name	
Attorney Docket Number	113129.0001.US

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BG	WO 02/34303 A1	05-02-2002	NITROMED, INC.		
	BH	WO 02/49535 A2	06/27/2002	NICAST LTD.		
	BI	WO 02/066092 A2	08-29-2002	ANGIOGENE INC.		
	BJ	WO 03/011250 A1	02-13-2003	ZOUCAS KIRURGKONSULT		
	BK	WO 03/017989 A1	03-06-2003	SCIMED LIFE SYSTEMS INC		
	BL	WO 03/020331 A1	03-13-2003	OXYGENE INC.		

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**

**Complete if Known**

**(Use as many sheets as necessary)**

Application Number	10/595329
Filing Date	04/10/06
First Named Inventor	ANDERSEN
Art Unit	
Examiner Name	
Attorney Docket Number	113129.0001.US

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				
	BM	WO 03/026717 A1	04-03-2003	THE GOVERNMENT OF THE		
	BN	WO 03/037397 A2	05-08-2003	EDWARDS LIFESCIENCES		
	BO	WO 2004/006976 A1	01-22-2004	COOK INCORPORATED		

Date  
Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**